

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1101.011

In Re Application Of:

He, et al.

jc978 U.S. Pat.  
09/885742  
06/20/01

Serial No.

Filing Date  
June 20, 2001

Examiner

Group Art Unit

Title:

**BACKLIGHT UNITS FOR LIQUID CRYSTAL DISPLAYS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0734 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

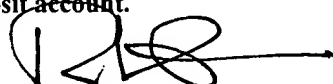
I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Amy J. Berry

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.



Signature

Dated:

6/20/01

Richard L. Sampson  
Attorney for Applicants  
Reg. No. 37,231

**BEST AVAILABLE COPY**

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1101.011



In Re Application Of:

He, et al.

Serial No.

Filing Date  
June 20, 2001

Examiner

Group Art Unit

Title:

**BACKLIGHT UNITS FOR LIQUID CRYSTAL DISPLAYS**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**BEST AVAILABLE COPY**

(Use several sheets if necessary)

Application Number

He, et al.

Filing Date

**June 20, 2001**

Group Art Unit

jc978 U.S. PTO

08/885742

09/20/01

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,965,907	10/12/99	Huang, et al.			
		5,926,239	7/20/99	Kumar, et al.			
		5,096,520	3/17/92	Faris			
		5,394,253	2/28/95	Kelly			
		5,831,375	11/3/98	Benson			
		6,101,052	8/8/00	Wortman et al.			
		BEST AVAILABLE COPY					

[illegible]

		BEST AVAILABLE COPY

**DATE CONSIDERED**

**Form PTO-A820**  
**(also form PTO-1449)**

P09A/REV04

Patent and Trademark Office • U.S. DEPARTMENT OF COMMERCE

**SHEET**

**OF**